LAKSON INVESTMENTS

We manage your money, as we manage our own

LI - KYC/DDF
PLEASE FILL IN BLOCK LETTERS

Lakson Investments Limited

Know Your Customer / Due Diligence Form for Individual Investors

In compliance with Regulation 9 of the NBFC (Non Banking Finance Companies) & Notified Entities Regulations, 2008 and SECP (Securities and Exchange Commission of Pakistan) Circular No. 12 dated April 28,2009.

This Form is meant and adopted to establish the identity of the client by using reliable, independent source of documents, data and information, under NBFC (Non Banking Finance Companies) & Notified Entities Regulations, 2008.

1. Name (Mr. /Mrs. /Ms.) _____

2. (i) Identification Document ☐ CNIC ☐ NICOP ☐ Passport ☐ PoC ☐ Other _____

   (ii) Identification Number ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐ (iii) N.T.N. Number ☐☐☐☐☐☐☐-☐

3. Nationality _____ 4. Country of Residence _____

5. Residential Status ☐ Resident ☐ Non Resident ☐ Foreigner ☐ Other _____

6. (i) Residence Address _____

   (ii) Business/Employer Address _____

7. Age ☐ Between 18-25 ☐ Between 26-35 ☐ Between 36-45 ☐ Between 46-60 ☐ Above 60

8. Marital Status ☐ Single ☐ Married ☐ Widow ☐ Divorced ☐ Other _____

9. Number of Dependents _____

10. Education

    ☐ Under Graduate ☐ Graduate ☐ Post Graduate ☐ Professional ☐ Other (Please Specify) _____

11. Source of funds (Multiple Options can be Selected)

    ☐ Salary ☐ Business Income ☐ Stock / Investment ☐ Home Remittance ☐ Inheritance

    ☐ Sale of Property ☐ Retirement Fund / Pension ☐ Other (Please Specify) _____

12. Occupation Details

    ☐ Private Service ☐ Government Service ☐ Retired ☐ Housewife ☐ Student

    ☐ Self Employed ☐ Professional ☐ Others (Please Specify) _____

13. Approximate Annual Income (optional) PKR. _____

14. Ultimate Beneficiary of the Investment (if different from investor) _____

    (Provide CNIC and other relevant Information of Beneficiary).
    Please note that redemption shall only be allowed in favour of the Account Holder.

_____ Date

_____ Unit Holder's Signature

Required Documents for Individual/Sole Proprietorship **(if not already provided)**:

(i) Copy of Identification Document
(ii) Source(s) of Income
(iii) Business/Employment Proof

Note: Tax and Zakat Exemption Certificate / Affidavit are Mandatory if exempted.

LAKSON INVESTMENTS LIMITED
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